

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**



Declaration
Submitted
with Initial
Filing

OR



Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

JPS;0756.712

First Named Inventor

Benjamin C. Rivera

COMPLETE IF KNOWN

Application Number

Filing Date

Art Unit

Examiner Name

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TOOL FRAME MEMBER INCLUDING SPRING

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent ApplicationDirect all correspondence to: ☒ Customer Number OR ☐ Correspondence address below**PETER STAPLES****Name**

601 SW 2ND AVENUE, SUITE 1600

Address

PORTLAND

City

OR

State

97204

ZIP

USA

Country

(503) 227-5631

Telephone

(503) 228-4373

Fax

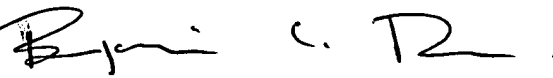
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR : ☐ A petition has been filed for this unsigned inventor

Given Name BENJAMIN C. RIVERA
(first and middle [if any])

Family Name
or Surname

Inventor's
Signature



Date

1/29/02

WEST LINN

Residence: City

OR

State

USA

Country

USA

Citizenship

Mailing Address 19485 SUNCREST DRIVE

WEST LINN

City

OR

State

97068

ZIP

USA

Country**NAME OF SECOND INVENTOR:** ☐ A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any])

Family Name
or Surname

Inventor's
Signature

Date

Residence: City**State****Country****Citizenship****Mailing Address****City****State****ZIP****Country**☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION EXAMINING OPERATIONS

Applicant: Benjamin C. Rivera

Group Art Unit:

Serial No.:

Examiner:

Filed: (concurrently herewith)

Attorney

Docket No.: DBH:756.712

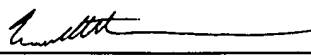
Title: TOOL FRAME MEMBER INCLUDING SPRING

POWER OF ATTORNEY

I, Timothy S. Leatherman, declare that I am President of Leatherman Tool Group, Inc., an Oregon corporation, and am authorized to execute this document on its behalf. Leatherman Tool Group, Inc. is the assignee of the entire right, title and interest in the above-identified patent application and hereby appoints Jacob E. Vilhauer, Jr., Reg. No. 24,885, Charles D. McClung, Reg. No. 26,568, Dennis E. Stenzel, Reg. No. 28,763, Donald B. Haslett, Reg. No. 28,855, William O. Geny, Reg. No. 27,444, J. Peter Staples, Reg. No. 30,690, Nancy J. Moriarty, Reg. No. 40,733, Bruce W. DeKock, Reg. No. 40,585, Kevin L. Russell, Reg. No. 38,292, and Timothy A. Long, Reg. No. 28,876, all of the firm of CHERNOFF, VILHAUER, McCLUNG & STENZEL, LLP, 1600 ODS Tower, 601 S.W. Second Avenue, Portland, Oregon 97204-3157, telephone No. (503) 227-5631, its attorneys, jointly and individually, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: January 29, 2002



Timothy S. Leatherman
President
Leatherman Tool Group, Inc.

COPY

ASSIGNMENT

WHEREAS, we, Benjamin C. Rivera, whose address is 19485 Suncrest Drive, West Linn, Oregon 97068, is the inventor of an invention entitled TOOL FRAME MEMBER INCLUDING SPRING for which on an even date herewith we executed an application for United States letters patent;

NOW, THEREFORE, in consideration of One (\$1.00) Dollar and other valuable consideration paid to us by Leatherman Tool Group, Inc., an Oregon corporation whose primary address is 12106 Ainsworth Circle, Portland, Oregon 97220, the receipt whereof is acknowledged, we do hereby assign unto the same Leatherman Tool Group, Inc., the entire interest in said invention for the United States and all foreign countries and any letters patent to be issued therefor in the United States or any foreign country and any divisions, continuations, continuations-in-part, improvements, reissues or extensions that may be made or granted thereon.

AND the Commissioner of Patents and Trademarks is requested to issue such letters patent in accordance herewith. And we agree to execute further instruments proper for effectuating the premises.

Dated: January 29, 2002

Benjamin C. Rivera
Benjamin C. Rivera

ACKNOWLEDGMENT

STATE OF Oregon)
) ss.
County of Multnomah)

Before me, a Notary Public for said county, personally appeared Benjamin C. Rivera, known to me to be the person who executed the foregoing instrument and acknowledged it to be his free act and deed.

Witness my hand and seal this 29th day of January, 2002.

Monica S. Husler
Notary Public for Oregon

My Commission Expires: Oct 28, 2004



STATEMENT UNDER 37 CFR §3.73(b)

Applicant/Patent Owner: BENJAMIN C. RIVERA

Application No./Patent No.:

Filed/Issue Date: Concurrently Herewith

Entitled: TOOL FRAME MEMBER INCLUDING SPRING

Leatherman Tool Group, Inc., a(n) Oregon Corporation

Name of Assignee

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is ____ %.

in the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent/trademark application/trademark identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

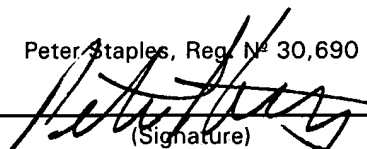
- X Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08.]

The undersigned (whose title is supplied below) is empowered to sign this Statement on behalf of the assignee.

Date: January 30, 2002

Peter Staples, Reg. No. 30,690



(Signature)

Of Attorneys for Assignee

1600 ODS Tower
601 SW Second Avenue
Portland, Oregon 97204-3157
Tel: (503) 227-5631